



**STATE OF NORTH CAROLINA**  
**OFFICE OF STATE BUDGET AND MANAGEMENT**

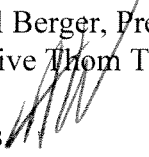
BEVERLY EAVES PERDUE  
GOVERNOR

ANDY WILLIS  
STATE BUDGET DIRECTOR

December 16, 2011

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate  
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Andy Willis   
State Budget Director

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2011-0145 (House Bill 200), the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to [andy.willis@osbm.nc.gov](mailto:andy.willis@osbm.nc.gov).

Thank you.

AW\kl

## Notification of Application for Grant Funds/Awards, 2011-12

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.  
Instructions at [http://www.osbm.state.nc.us/files/pdf\\_files/grants\\_instir.pdf](http://www.osbm.state.nc.us/files/pdf_files/grants_instir.pdf)

1 Department .....	Community Colleges System Office
2 Division (except in DHHS) .....	Academic & Student Services
3 Contact person (name) .....	Clark Dimond
4 Phone number .....	(919) 807-7180
5 E-mail .....	climondc@nccommunitycolleges.edu
6 Funding Entity (grantor) .....	Jobs for the Future
7 CFDA number .....	
8 Grant title .....	Accelerating Opportunity Implementation
9 Grant application deadline (MM/DD/YY) .....	10/14/11
10 Start date of grant (MM/DD/YY) .....	11/18/11
11 End date of grant (MM/DD/YY) .....	09/30/14
12 Application type .....	Continuation/renewal
13 Is this grant already in agency's continuation budget?	No
14 Budget code the grant will be expended in (XXXX) .....	16800
15 Fund code (XXXX or NA) .....	1400
16 Is there a state matching requirement?	Yes
17 If yes, what is the matching requirement?	\$400,000 in cash or strategic in-kind to be applied in any or all three years of the grant. Will use the allocation to community colleges for the Basic Skills Plus program.
18 If yes, what is the source of state funds being used to match grant funds .....	General Fund
19 Is there a maintenance of effort (MOE) requirement?	No
20 If yes, what is the MOE?	
21 Is an additional General Fund appropriation required to meet the state match requirement?	No
22 Will any of these funds be passed through to local governments or non-state entities?	No
23 If yes, identify affected entities by type .....	No
24 Will additional state monies be required to continue the program if grant expires or is reduced?	No
25 If yes, is this a requirement of the grant?	No
26 Are new FTEs funded through the grant?	No

	SFY 2010-11 Actual	For 2011-12		SFY 2012-13 Proposed	SFY 2013-14 Proposed	SFY 2014-15 Proposed
		Complete either Authorized	Authorized or Proposed			
27 If yes, give the number by type for each year: Permanent						
28 Amount of grants funds applied for in each year	\$0.00					
29 Amount of grants funds awarded in each year	\$26,470.00	\$173,530.00	\$600,000.00	\$600,000.00	\$400,000.00	
30 Purpose of grant or amendment .....	This grant is designed to change the way adult basic education is delivered to ensure that more underprepared adults gain marketable skills and achieve credentials that lead to family-supporting employment. The grant directly supports program excellence by increasing opportunities for entry-level job training and degree attainment tied to industry certifications and licensure and integration with career readiness credentials and employability skills training.					
31 Comments .....	In FY10-11 we received a planning grant of \$200,000 from Jobs for the Future titled ABE to Credentials. The funds remaining at 6/30/11 (\$173,530) were carried forward into FY 11-12. Accelerating Opportunities is a continuation of that grant, the name has just been changed.					

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.

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1 Department .....	Community Colleges System Office
2 Division (except in DHHS) ..... DHHS only, choose division from drop down list.	Academic & Student Services
3 Contact person (name) .....	Cynthia Liston
4 Phone number .....	807-7108
5 E-mail .....	listonc@nccommunitycolleges.edu
6 Funding Entity (grantor) .....	MDC
7 CFDA number .....	
8 Grant title .....	Developmental Education Initiative
9 Grant application deadline (MM/DD/YY) .....	
10 Start date of grant (MM/DD/YY) .....	12/01/11
11 End date of grant (MM/DD/YY) .....	06/30/12
12 Application type .....	New
13 Is this grant already in agency's continuation budget?	No
14 Budget code the grant will be expended in (XXXX) .....	16800
15 Fund code (XXXX or NA) .....	1400
16 Is there a state matching requirement?	NO
17 If yes, what is the matching requirement? .....	
18 If yes, what is the source of state funds being used to match grant funds. ....	
19 Is there a maintenance of effort (MOE) requirement? .....	No
20 If yes, what is the MOE? .....	
21 Is an additional General Fund appropriation required to meet the state match requirement? .....	No
22 Will any of these funds be passed through to local governments or non-state entities? .....	Yes
23 If yes, identify affected entities by type .....	
24 Will additional state monies be required to continue the program if grant expires or is reduced? .....	No
25 If yes, is this a requirement of the grant? .....	
26 Are new FTEs funded through the grant? .....	No

	SFY 2010-11 Actual	For 2011-12 Complete either Authorized or Proposed		SFY 2012-13 Proposed	SFY 2013-14 Proposed	SFY 2014-15 Proposed
		Authorized	Proposed			
27 If yes, give the number by type for each year: Permanent						
Time-Limited						
28 Amount of grants funds applied for in each year .....			\$200,000.00			
29 Amount of grants funds awarded in each year .....			\$200,000.00			
30 Purpose of grant or amendment .....	To promote policy innovations that improve the climate for and provides incentive to help community colleges adopt practices and policy to help students advance through developmental education and enroll in college level courses.					
31 Comments .....	There was no grant application. The Achieving the Dream funds awarded to us by Jobs for the Future in 2006-2007 will be exhausted within the next year. The Gates Foundation awarded funds to MDC and we were selected by MDC to receive funding to continue with the Achieving the Dream program.					

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- 1 Department .....
- 2 Division (except in DHS) .....  
DHS only, choose division from drop down list.
- 3 Contact person (name) .....
- 4 Phone number .....
- 5 E-mail .....
- 6 Funding Entity (grantor) .....

Community Colleges System Office  
Academic & Student Services  
Cynthia Liston  
807-7108  
[llistonc@nccommunitycolleges.edu](mailto:llistonc@nccommunitycolleges.edu)  
Jobs for the Future

- 7 CFDA number .....
- 8 Grant title .....

## Adult Degree Completion

- 9 Grant application deadline (MM/DD/YY) .....
- 10 Start date of grant (MM/DD/YY) .....
- 11 End date of grant (MM/DD/YY) .....
- 12 Application type .....
- 13 Is this grant already in agency's continuation budget?
- 14 Budget code the grant will be expended in (XXXX)
- 15 Fund code (XXXX or NA) .....
- 16 Is there a state matching requirement?
- 17 If yes, what is the matching requirement? .....

- 18 If yes, what is the source of state funds being used to match grant funds? .....
- 19 Is there a maintenance of effort (MOE) requirement? .....
- 20 If yes, what is the MOE? .....

- 21 Is an additional General Fund appropriation required to meet the state match requirement? .....
- 22 Will any of these funds be passed through to local governments or non-state entities? .....
- 23 If yes, identify affected entities by type .....
- 24 Will additional state monies be required to continue the program if grant expires or is reduced?
- 25 If yes, is this a requirement of the grant? .....
- 26 Are new FTEs funded through the grant? .....

No					
No					
No					
No					
No					
No					
No					
No					
No					
No					
No					

For 2011-12

SFY 2010-11

Complete either Authorized or Proposed  
↓      ↓

SFY 2012-13

SFY 2014-15

- 27 If yes, give the number by type for each year: Permanent

Time-Limited

- 28 Amount of grants funds applied for in each year .....
- 29 Amount of grants funds awarded in each year .....
- 30 Purpose of grant or amendment .....

Actual	Authorized	Proposed	Proposed	Proposed	Proposed
		\$15,000.00			
			\$15,000.00		

Program is aimed at supporting and encouraging degree completion for adults with some college credit. In particular, dislocated and under-employed workers returning to college after years of employment and adult students who have earned lifetime learning credits or articulated credit from completing non-credit programs. These funds will be used to assemble and convene a state policy team to identify key policy measures and develop a policy work plan to effect the determined policy changes.

- 31 Comments .....

There was no actual grant application. The Lumina Foundation has initiated a program titled "Adult Degree Policy Project" which is a multi-state program. Jobs for the Future agreed to manage the Lumina Foundation grant and they have named us as a partner. We will enter into a Memorandum of Understanding with JFF to assemble and convene the state policy team meetings.

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